

TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A FILING UNDER 35 U.S.C. § 371		Attorney's Docket Number 045636-5052
International Application. No.   International Filing Date		U.S. Application No. Unassigned
PCT/FR00/01975	July 7, 2000	Priority Date Claimed July 8, 1999

Title of Invention: ANTIMICROBIAL PEPTIDES DERIVED FROM MOLLUSKS

Applicants For EO/EO/US: Philippe ROCH, Guillaume MITTA, Florence HUBERT and Thierry NOEL

Applicants herewith submit to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

1. ☒ This is a FIRST submission of items concerning a filing under 35 U.S.C. § 371.
2. ☐ This is a SECOND or SUBSEQUENT submission of items concerning a filing under 35 U.S.C. § 371.
3. ☐ This express request to begin national examination procedures (35 U.S.C. § 371(f)) at any time rather than delay examination until the expiration of the applicable time limit set in 35 U.S.C. § 371(b) and PCT Articles 22 and 39(1).
4. ☒ A proper Demand for International Preliminary Examination was made by the 19th month from the earliest claimed priority date.
5. ☒ A copy of the International Application as filed (35 U.S.C. § 371(c)(2))
  - a. ☐ is transmitted herewith (required only if not transmitted by the International Bureau).
  - b. ☒ has been transmitted by the International Bureau.
  - c. ☐ is not required, as the application was filed in the United States Receiving Office (RO/US).
6. ☐ A translation of the International Application into English (35 U.S.C. § 371(c)(2)).
7. ☒ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. § 371(c)(3)).
  - a. ☐ are transmitted herewith (required only if not transmitted by the International Bureau).
  - b. ☐ have been transmitted by the International Bureau.
  - c. ☐ have not been made; however, the time limit for making such amendments has NOT expired.
  - d. ☒ have not been made and will not be made.
8. ☐ A translation of the amendments to the claims under PCT Article 19 (35 U.S.C. § 371(c)(3)).
9. ☐ An oath or declaration of the inventors (35 U.S.C. § 371(c)(4)).
10. ☒ A translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. § 371(c)(5)).

Items 11. to 14. below concern other document(s) or information included:

11. ☒ An Information Disclosure Statement under 37 C.F.R. § 1.97 and § 1.98.
12. ☐ An assignment document for recording. A separate cover sheet in compliance with 37 C.F.R. § 3.28 and § 3.31 is included.
13. ☒ A FIRST preliminary amendment.
14. ☒ A SECOND or SUBSEQUENT preliminary amendment.
14. ☒ Other items or information:
  - a. WO 01/04294
  - b. PCT/IB/304
  - c. PCT/IB/308
  - d. Statement Accompanying Sequence Listing
  - e. Diskette containing Sequence Listing CRF
  - f. Paper Copy of Sequence Listing

U.S. APPLICATION NO. | INTERNATIONAL APPLICATION NO. | ATTORNEY DOCKET NUMBER

Unassigned | PCT/FR00/01975 | 045636-5052

15. ☒ The following fees are submitted:  
Basic National Fee (37 C.F.R. § 1.492(a)(1)-(5)):  
Search Report has been prepared by the EPO or JPO.....\$890.00  
International preliminary examination fee paid to  
USPTO (37 C.F.R. § 1.482).....\$710.00  
No international preliminary examination fee paid to  
USPTO (37 C.F.R. § 1.482) but international search fee  
paid to USPTO (37 C.F.R. § 1.445(a)(2)).....\$740.00  
Neither international preliminary examination fee  
(37 C.F.R. § 1.482) nor international search fee  
(37 C.F.R. § 1.445(a)(2)) paid to USPTO.....\$1,040.00  
International preliminary examination fee paid to USPTO  
(37 C.F.R. § 1.482) and all claims satisfied provisions  
of PCT Article 33(2)-(4).....\$100.00  
**ENTER APPROPRIATE BASIC FEE AMOUNT =** \$890.00

Surcharge of \$130.00 for furnishing the oath or declaration later than

☐ 20 ☒ 30 months from the earliest claimed priority date

(37 C.F.R. § 1.492(e)).

Claims	Number Filed	Number Extra	Rate	
Total Claims	- 20 =		X \$18.00	\$
Independent Claims	- 3 =		X \$84.00	\$
Multiple dependent claim(s) (if applicable)			+ \$280.00	\$
<b>TOTAL OF ABOVE CALCULATIONS</b>				\$
Reduction by ½ for filing by small entity, if applicable. Verified Small Entity statement must also be filed. (Note 37 C.F.R. §§ 1.9, 1.27, 1.28)				-\$
<b>SUBTOTAL =</b>				\$
Processing fee of \$130.00 for furnishing the English translation later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date (37 C.F.R. § 1.492(f)).				+\$
<b>TOTAL NATIONAL FEE =</b>				\$890.00
Fee for recording the enclosed assignment (37 C.F.R. § 1.21(h)). The Assignment must be accompanied by an appropriate cover sheet (37 C.F.R. §§ 3.28, 3.31). \$40.00 per property				\$
<b>TOTAL FEES ENCLOSED =</b>				\$
Amount to be refunded				\$
Amount to be charged				\$

- a. ☐ A check in the amount of \$\_\_\_\_\_ to cover the above fees is enclosed.  
b. ☒ Please charge my Deposit Account No. 50-0310 in the amount of \$890.00  
c. ☒ **Except** for issue fees payable under 37 C.F.R. § 1.18, the Commissioner is hereby authorized  
by this paper to charge any additional fees during the entire pendency of this application  
including fees due under 37 C.F.R. § 1.16 and § 1.17 which may be required, or credit any  
overpayment to Deposit Account No. 50-0310.

Customer No. 09629

SEND ALL CORRESPONDENCE TO:

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Submitted: January 8, 2002

PATENT  
ATTORNEY DOCKET NO. 045636-5052-US

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Application of: **Philippe ROCH *et al.*** )  
 )  
Application No.: ) Group Art Unit: Unassigned  
(Based on PCT/FR00/01975) )  
Filed: January 8, 2002 ) Examiner: Unassigned  
 )  
For: **ANTIMICROBIAL PEPTIDES DERIVED** )  
**FROM MOLLUSKS** )  
 )

**BOX SEQUENCE**  
Commissioner for Patents  
Washington, D.C. 20231

**STATEMENT ACCOMPANYING SEQUENCE LISTING**

Dear Sir:

The undersigned hereby states upon information and belief that the Sequence Listing submitted concurrently herewith does not include matter which goes beyond the content of the application as filed and that the information recorded on the diskette submitted concurrently herewith is identical to the written Sequence Listing submitted herewith.

Respectfully submitted,

**MORGAN, LEWIS & BOCKIUS LLP**

Dated: January 8, 2002

By: Rachel B. Kapust  
Rachel B. Kapust

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